



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

NOV 18 1998

Lee W. Mercer, Treasurer  
National Association of Small Business  
Investment Companies Political Action  
Committee  
666 11<sup>th</sup> Street, NW, Suite 750  
Washington, DC 20001

Identification Number: C00109991

Reference: October Quarterly Report (7/1/98-9/30/98)

Dear Mr. Mercer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your Amended July Quarterly report discloses a copy of a refund check for \$500 from the Talent for Congress Committee dated August 17, 1998. However, the receipt of this refund has not been disclosed on your report this period. Please be advised that all refunds of contributions made to federal candidates should be properly disclosed on a Schedule A supporting Line 16 of the Detailed Summary Page. Please amend your report to disclose the missing information.

-Schedule B supporting Line 23 of your report discloses a contribution(s) to a candidate(s) for the 1998 primary election; however, the funds were disbursed after the election date(s) (pertinent portion(s) attached). Please note that contributions may not be designated for an election which has already occurred unless the funds are to be used to reduce a candidate committee's debts incurred during that election campaign. Please clarify the contribution(s) and disclose any redesignations or refunds as necessary on the appropriate schedules.

-Your report discloses several letters from candidate committees which

authorize the redesignation of several contributions made by your committee. However, you have failed to properly disclose these redesignations on your report. Please refer to the enclosed sample and amend your report to properly disclose these redesignations.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,



Debbie Chacona  
Senior Reports Analyst  
Reports Analysis Division

## SCHEDULE B

## ITEMIZED DISBURSEMENTS

Use separate schedule (a)  
for each category of the  
Detailed Summary PagePAGE 1 OF 1  
FOR LINE NUMBER  
23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

## NAME OF COMMITTEE (in Full)

National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Missourians for Kit Bond 507 Capitol Court Washington, DC 20002	Senate-MO Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/23/98	1,000.00
B. Full Name, Mailing Address and ZIP Code Re-Elect Nydia Velazquez to Congress 516 45th Brooklyn, NY	House-NY-12 Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/28/98	\$2,000.00
C. Full Name, Mailing Address and ZIP Code Comcast Congressional Committee 383 South Pickett Street Alexandria, VA 22304	House-TX-19 Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/28/98 TX Primary date: 3/10/98	\$1,000.00
D. Full Name, Mailing Address and ZIP Code Friends of Michael Forbes P.O. Box 505 Farmingville Long Island NY 11738	House-NY-01 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	8/24/98	\$2,000.00
E. Full Name, Mailing Address and ZIP Code Missourians for Kit Bond 507 Capitol Court Washington, DC 20002	Senate-MO Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	8/25/98 MO Primary date: 8/4/98	1,000.00
F. Full Name, Mailing Address and ZIP Code The Judd Gregg Committee P.O. Box 1812 Concord, NH	Senate-NH Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	9/24/98	\$1500.00
G. Full Name, Mailing Address and ZIP Code The Billy Tauzin Committee P.O. Box 1407 Thibodaux, LA 70302	House-LA-03 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	9/1/98	500.00
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

9,000.00

TOTAL This Period (last page this line number only)

9,000.00

## Redesignations of Contributions

Use *memo entries* to show any redesignations of contributions.

If a contribution to a candidate is redesignated after the close of books, show the redesignation on the next report and indicate the report on which the original contribution was itemized.

In this illustration, the committee originally made a \$10,000 contribution to a candidate's campaign, intending half of it to count toward the general election. The committee itemized the contribution in its April quarterly report. The following month, the campaign requested that the SSF redesignate the excessive \$5,000 for the general election campaign. The committee itemized the redesignation in its July quarterly report.

## REDESIGNATIONS APRIL REPORT

SCHEDULE B		ITEMIZED DISBURSEMENTS		Use separate schedule for each category of the Detailed Summary Page	PAGE	OF
Contributions to Federal Candidates				FORM LINE NUMBER 23		
Any information copied from such reports and disbursements may not be used or used by any person for the purpose of soliciting contributions or for campaign purposes, other than using the name and address of any political committee to solicit contributions from such committee.						
NAME OF COMMITTEE OR PAC National Organization PAC -000000001						
A. Full Name, Mailing Address and Zip Code Friends of Tom Duncan 818 Beecher Street City, State ZIP	Purpose of Disbursement Tom Duncan, House candidate 25th (State)		Disbursement to: <input checked="" type="checkbox"/> Person <input type="checkbox"/> Group <small>(Check exactly)</small>	Date Made No. 1440 8/25/94	Amount of Cash Disbursement This Period \$10,000	

## JULY REPORT

SCHEDULE B		ITEMIZED DISBURSEMENTS		Use separate schedule for each category of the Detailed Summary Page	PAGE	OF
Contributions to Federal Candidates				FORM LINE NUMBER 23		
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NAME OF COMMITTEE OR PAC National Organization PAC -000000001						
A. Full Name, Mailing Address and Zip Code Friends of Tom Duncan 818 Beecher Street City, State ZIP	Purpose of Disbursement Tom Duncan, House candidate 25th (State)		Disbursement to: <input type="checkbox"/> Person <input checked="" type="checkbox"/> Group <small>(Check exactly)</small>	Date Made No. 1440 8/25/94	Amount of Cash Disbursement This Period \$10,000 MEMO	
					4/21/94 \$5,000 MEMO REDESIGNATED	

